Support Mechanism for Brachial Plexus Injury

Mid-semester Report

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Abstract

Injuries of the brachial plexus are severely debilitating and can cause paralysis of large portions of the arm and shoulder muscles. This paralysis leads to subluxation of the humeral head from the acromion. Those with a brachial plexus injury must therefore wear a sling almost constantly. However, there are a very limited number of slings on the market that significantly reduce shoulder subluxation without being overly restrictive. Thus, the objective of this project is to create a sling tailored for brachial plexus injuries. Based on a set of requirements compiled from the advice of a brachial plexus patient at the UW hospital, the team developed three alternative slings and chose a final design to pursue. The next steps will involve construction of a working prototype and validation testing.

Background

Brachial Plexus Injuries

The brachial plexus is a set of nerves that lead from the spinal cord to various points in the arm. These nerves are responsible for control and sensation throughout the shoulder, arm, and hand. Injury to the brachial plexus can cause arm paralysis, and the patient can lose feeling or feel pain in the hand and arm. This kind of injury is usually caused by the arm being pulled away from the body, stretching the nerves near the spinal cord [1]. In adults, brachial plexus injuries are usually due to traumatic events such as motorcycle accidents, snowmobile accidents, car accidents, gunshot wounds to the shoulder region, or tree limbs falling on the shoulder [2].

Figure 1: Drawing of the brachial plexus nerves which are attached to the spinal cord near the base of the neck. [5]

Stretch injuries of the brachial plexus can cause different types of damage to the nerves. The first type is avulsion, which occurs when the nerve roots become detached from the spinal cord. Unfortunately, in this type of injury the nerves are unable to recover. However, surgery can be attempted to regain some muscle control. A second injury type is scar tissue with conduction. This is when the nerve is surrounded by scar tissue, but can still conduct an electrical impulse through the nerve and therefore is still functional. These injuries will heal with time. The nerve can also be injured
with impaired conduction ability. This is when scar tissue prevents the signal from traveling through the nerve, and surgery is needed to regain function [2].

There are three main repairs that can be utilized to fix injuries to the brachial plexus. The first and most successful procedure is neurolysis. This procedure involves removing the scar tissue from around the nerve. A second procedure, usually done when a section of the nerve is damaged, is nerve grafting. A surgeon removes the damaged part of the nerve and replaces it with a nerve graft from a different part of the body. The last procedure that can be performed is neurotization. This involves replacing a damaged nerve with an entirely new nerve, from the neck or the chest. These surgeries last four to seven hours and hospitalization is usually necessary for one or two days. After a few weeks, therapy begins and lasts for many months. Nerves heal only about an inch per month, so it can take years to fully recover [1]. Supportive slings must be worn throughout this recovery process.

Although brachial plexus repairs can give many patients full recovery of their arm, some are not successful. For these patients, there can be little to no return of sensation or movement. They can be left with partial or total limb paralysis. As a result, the limb muscles atrophy, ultimately leading to uncomfortable subluxation of the humeral head. Many brachial plexus patients must rely on shoulder and arm supporting splints to fix this subluxation.

Our Patient

For the time being, our team is focusing on one patient, Eric. He was involved in a motorcycle accident on August 12, 2007, and was transferred to the emergency room complaining of right forearm pain. There the doctors found that he had suffered avulsion of the C6 and C7 nerve roots as well as many other injuries including broken and fractured bones. The brachial plexus injury left Eric with no sensation or movement in his shoulder, arm, and hand. In January of 2008, Eric underwent a nerve transfer from the nerves in his ribs to the musculocutaneous nerve of the brachial plexus. Doctors were unable to successfully graft the other damaged nerve. Since then, he has regained functional use of this hand due to the surgery, but still suffers complete arm paralysis.
Eric has used many different slings and supportive braces to help him go on with everyday life. At one point, he wished to go back to school, but was unable to because of the difficulties he had keeping his hand in a usable position. Our team’s ultimate goal is to satisfy Eric’s needs and to enhance his quality of life.

**Current Slings**

Approximately 200,000 people a year suffer from brachial plexus injuries in the United States alone, yet there are very few support devices specifically focusing on the injury. There are many shoulder supportive devices on the market that do not fix the subluxation of the shoulder. Examples of these are braces and wraps. Wraps are used to immobilize the shoulder, but do not have enough support to eliminate subluxation. They are also difficult to apply with only one arm. Braces provide more support and can be easier to put on, but once again are not designed to reduce subluxation.

There are some slings that do minimize subluxation, but are still flawed. One such sling is the Givmohr as shown in Figure 2. Designed specifically to reduce shoulder subluxation, this sling wraps around the body and down through the hand. A plastic piece gripped by the hand is pulled up by the straps, reducing shoulder subluxation [3]. However, the plastic piece held by the hand is hard and uncomfortable, and prevents the wearer from using their hand. It also cannot be worn under clothes due to the placement of the straps. These traits are not desirable for our patient.

A second sling of interest is the Wilmer sling, which is shown in Figure 3. This sling was designed at the Delft University of Technology for patients with a paralyzed arm. It reduces subluxation by supporting the elbow, rather than the hand. A wire support runs down the forearm and is attached to a plastic plate for the hand to rest on [4]. Although this sling is successful in reducing shoulder subluxation, the strapping leads to uncomfortable pinching under the shoulder,
and once again, the hand is not free.

Although there are multiple slings on the market, none of them are ideal for patients with brachial plexus injuries. We hope to improve the methods used by current slings to come up with an optimal design for our patient.

**Problem Statement**

As detailed above, brachial plexus injuries can be very debilitating. However, there are few slings designed for these injuries on the market and the slings that do exist are not ideal. Injury to the brachial plexus results in loss of motor function and subluxation of the humeral head from the shoulder socket as seen in Figure 4. The goal of this project is to create a prototype of a sling that reduces subluxation by lifting the humeral head and provides enhanced comfort for the user. Although many brachial plexus patients desire an improved sling, the focus of this project is on Eric. In the future, the will have design has the potential to help other patients.

**Design Specifications**

Based on the inadequacies of current slings on the market and conversations with Eric, a set of requirements for a sling tailored to Eric’s condition was compiled. The most important aspect that must be considered is shoulder, arm, and forearm position. Lifting the arm to reducing shoulder subluxation is essential to any sling we propose. Currently, the distance between Eric’s acromion and humeral head is two finger widths. The sling should ideally reduce that distance to zero finger widths. Another key requirement is that Eric’s right hand be held in a useful position. Therefore, the sling should hold Eric’s right elbow at his side and at 90° angle with his forearm pointing straight forward and parallel to the floor. If time permits, a hinge mechanism that enables variation in elbow position will be incorporated. The upper arm should be at a 20° angle away from the body for maximum comfort. Furthermore, the sling should accurately secure Eric’s arm in these specified positions during repeated use and prevent the flaccid arm from excessively swinging away from the body.
As expected, comfort and safety are also of utmost importance in a successful sling design. Eric intends to wear the sling whenever he goes out in public, so this device must permit up to eight hours of comfortable wear. To accomplish this, the sling should be lightweight and adequately distribute the weight of the right arm. Components of the sling must not cut off circulation or cause chafing. Materials that are breathable and lightweight should be chosen to minimize overheating. Also, any materials in direct contact with the skin should be hypoallergenic, soft, and non-irritating. Additionally, the sling should facilitate easy one-handed application and removal.

Aesthetics of the sling, while not the most important factor, is another area that must be addressed. The main requirement in this category is that the sling be concealable under clothing including long sleeve shirts. Any visible components should be black in color. Also, the sling should enhance the cosmetic appeal of Eric’s greatly atrophied right arm by adding bulk. Currently, Eric’s right upper arm is two inches smaller in circumference than his left, so the proposed sling should add two inches to the circumference of the upper arm.

Other specifications relate to the performance of the sling and budgetary constraints. Because slings can be easily soiled, the proposed prototype should be washable. Furthermore, all components of the slings should be non-flammable and be able to withstand body and ambient temperatures without deformation. Sling components should be designed for repeated loading to maximize life in service. Lastly, due to a limited budget, all materials and fabrication should not exceed $200.

**Design Alternatives**

**Sleeve Design**

The first design alternative incorporates a sleeve concept consisting of a single nylon strap, a thermoplastic forearm support, and the sleeve itself as seen in Figure 5. The sleeve would be made of neoprene and mesh materials. Neoprene would be located in the weight-bearing areas such as the material covering the right shoulder and looping under the left arm. Mesh would be integrated into the material covering the upper arm, forearm, and back components to make the sling light and breathable. The design of the sleeve would facilitate easy one-handed application and removal because the user could slide it on like a shirt and fasten it with the

Figure 5: Sketch of front view (top) and rear view (bottom) of the proposed sleeve design.
Velcro front closure. Furthermore, the sleeve would serve as the base structure for the other components of the design such as the nylon straps, thermoplastic supports and padding. The nylon straps would be sewn into the sleeve for added support and better weight distribution. One strap would follow the contour of the arm so the sling would fit under most clothing and would have a hiker’s clip attached to allow easy connection to the straps that run under the elbow. An adjuster similar to those on a backpack would enable tightening to decrease subluxation. The elbow strap would pass through the sleeve under a padded thermoplastic forearm support. This forearm support would be formed to fit the user, extend to the balance point of the forearm, keep the arm at a 90° angle, and be held in place by the sleeve. Lastly, the sleeve design would provide bulk to an atrophied arm via a removable padded insert on the upper arm.

The sleeve design has several advantages and limitations. As mentioned above, the advantages of this design are easy application and removal, reduction of subluxation, and the ability to conceal it under clothing. Furthermore, the prototype would be machine washable and provide the desired cosmetic appeal to the injured arm. However, there are a few limitations as well. The main concern is that a sleeve may overheat in warm weather or during extended use. Also, this design requires large amounts of material and extensive sewing, so fabrication may be difficult.

**Backpack Design**

The premise of the second alternative is a backpack concept consisting of two over the shoulder straps, an elbow strap, a half sleeve, and a thermoplastic forearm support as seen in Figure 6. The “backpack” straps would be made of neoprene rather than nylon to enhance comfort on the skin/strap interface. These straps would crisscross in the back through a plastic slider that could move up and down to adjust strap position. There would also be a clip across the chest to allow further adjustment of the straps and improve weight distribution. Additionally, this design facilitates one-handed application and removal. A half sleeve that covers the user’s upper arm would be attached to the right shoulder strap. The sleeve would provide bulk to an atrophied arm and reinforce the elbow support strap. This adjustable strap would be sewn into the

![Figure 6: Sketch of front view (top) and rear view (bottom) of the proposed backpack design.](image-url)
sleeve and would travel down the outside of the arm, under the elbow, and up the inside of the arm. It would reduce shoulder subluxation by lifting the elbow. A padded thermoplastic forearm support would be fitted to the user, attached to the elbow strap, and extend to the balance point of the forearm to keep the elbow at a 90° angle.

Like other designs, the backpack design has advantages as well as limitations. The main advantage of this design is that it improves weight distribution with an extra shoulder strap that would enhance comfort. Other benefits include reduction of subluxation, the ability to wear under clothing, one-handed application, and washability. Drawbacks to the backpack design include possible discomfort due to the number of straps on the bare skin and the possibility that the attachment of the elbow strap on the inside of the arm is infeasible.

**Boomerang Design**

The boomerang design involves a boomerang-shaped rigid piece which is attached to the upper and lower arm with two cuffs. The boomerang-shaped piece would be made of a rigid polymer or low-density, lightweight metal. The structural aspects of this piece are critical as the strongpoint of the design is that it maintains the desired holding angle for the patient. By placing the boomerang piece on both the inner and outer sides of the arm, the dynamic shifting of the hand about the humeral axis is greatly reduced. This component would attach to a cuff on the upper humerus and middle forearm. These cuffs would be a synthetic fabric (mesh, neoprene, or nylon), fastened by Velcro straps to adhere tightly to those positions. A rigid material would run around the central perimeter of each cuff and have a pin attachment, through which the holes in the boomerang could be mounted. Means of mounting include either fastened or detachable components.

The upper cuff would have a strap which runs around the left side of the neck from the front of the right arm to the back of the right arm, connected by a strap over the right shoulder as shown in Figure 8.
The boomerang concept offers some strong points for the requirements of the solution. The arm is restricted to very little flexional or torsional movement as desired by client. This concept also allows room for a future additional adjustable component to be added to the boomerang piece to allow for multiple carrying positions of the affected arm. Also, as heavily stressed by the client, the design would be feasibly worn under clothing so as to conceal the majority of straps and sling components.

However, the sling has flaws in its design as well. Although the subluxation would be reduced, the lack of a strap wrapping around the elbow limits subluxation reduction. Since the sling must lift a minimum of 10.5 pounds at the elbow, a cuff simply wrapped around the upper arm would not offer adequate support. Also, getting the boomerang sling on could become a time-consuming task due to the many components.

**Design Matrix**

The three design alternatives explained above were placed into a design matrix to evaluate their respective potential to produce a prototype which solves the design problem. Criteria were developed by the team in collaboration with the client and patient to ensure that the solution most efficiently solved multiple problems with current slings available. These criteria were then assigned a value corresponding to their importance to a successful prototype. The matrix can be seen in Figure 9 below.
<table>
<thead>
<tr>
<th>Criteria Considerations</th>
<th>Design Alternatives</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Weight</td>
</tr>
<tr>
<td>Subluxation reduction</td>
<td>30</td>
</tr>
<tr>
<td>Easily worn/put on</td>
<td>15</td>
</tr>
<tr>
<td>Ability to conceal under clothing</td>
<td>15</td>
</tr>
<tr>
<td>Weight distribution</td>
<td>10</td>
</tr>
<tr>
<td>Cost</td>
<td>10</td>
</tr>
<tr>
<td>Fabrication complexity</td>
<td>10</td>
</tr>
<tr>
<td>Carrying position</td>
<td>5</td>
</tr>
<tr>
<td>Cosmetic enhancement</td>
<td>5</td>
</tr>
<tr>
<td><strong>TOTAL</strong></td>
<td><strong>100</strong></td>
</tr>
</tbody>
</table>

Figure 9: The design matrix for the brachial plexus sling design project. The boomerang design scores significantly below the other design options, which will be melded together to form a hybrid of the two concepts.

The heaviest weight criterion for the project was subluxation reduction. If a final sling fits all other requirements but fails to reduce the subluxation of the humerus, the project is essentially useless. The sleeve and backpack designs offer substantial support of the arm along the axis of the humerus to hold up the weight of the arm and reduce subluxation by pulling the humeral head back into the acromion. Since the boomerang only pulls the arm up by the cuff around the upper and lower arm, slipping of the skin and weight of the arm will greatly reduce effectiveness.

The other heavily weighted requirements for the device are that it can be easily put on with limited functionality of the patient and being able to conceal the majority of the sling under clothing. All three alternatives were designed to ensure that they could be worn underneath the patient’s clothing and thus received full points in the category. With regards to easily getting into the device, the boomerang again falls behind the other two designs, for reasons previously mentioned. The backpack concept scores a point lower than the sleeve idea since the backpack involves the twisting of the torso and pulling of arms through two straps instead of one.

Weight distribution presents another critical component of the design. This category incorporates both adequate biomechanical distribution of the weight, as well as comfort of the
strapping layout. Intuition says that the strapping layout of the boomerang design fails to spread weight of the arm out effectively and creates comfort problems due to the strap around the neck. The sleeve design solves this issue better since it incorporates an easily detachable hiking clip to support the weight just in front of the elbow, but does not split the weight across both shoulders. Therefore, the backpack is the best option with regards to distribution since the weight is adequately spread across the body and through the ‘X-clip’ across the back of the sling.

Cost is a category of the project which must be kept in mind since the client and patient didn’t have funding for us. Although the client has the capability of acquiring a few materials, the majority of the materials need to be purchased through a grant received by the team. Due to expected materials incorporated into each design, the three ideas received points accordingly to their cost effectiveness.

Difficulty of fabrication is yet another important aspect that had to be considered. The team is currently in contact with an orthotics supervisor who works out of Madison. Hopefully, this orthotics company will be able to offer suggestions and fabrication help throughout the remainder of the project. Nonetheless, certain components of the designs would create a massive headache when trying to fabricate. This explains why the boomerang design lost out on points since the pin connection of the static component to the cuffs would be extremely difficult to fabricate.

All three designs were conceived to hold the arm at a ninety degree angle directly in front of the body (not across the body). The matrix rates the ability of the sling to inhibit movement from this position. It also incorporates the ability to include a dynamic aspect of the design in the future so as to create multiple supporting angles. The rigidity of the boomerang offers excellent potential here, whereas the more simplified strapping of the sleeve and backpack designs could allow unwanted movement of the lower arm.

Due to lack of motor control of the upper arm, the patient has lost extensive muscle mass due to tissue deterioration in the upper arm and shoulder regions. Our patient, who is an avid weightlifter, expressed an interest in enhancing the visual size of his arm and shoulder so as to restore a symmetrical look. Because all three designs could be modified in the future to better accommodate this wish, the designs each scored high in this category.

Upon evaluation of the three designs by the design matrix, the team acknowledged that the boomerang design was not a promising solution to the problem at hand. The two remaining designs scored only one point apart on the design matrix. After correlation and discussion amongst the team, a decision to create a hybrid sling was favored over pursuing the sleeve concept because of a one point edge. This hybrid sling, shown below, follows the premise of both designs. A sleeve completely
encloses the upper arm and upper forearm. A supportive component is attached to the clavicle area with a detachable ratchet strap. The weight distribution of the backpack is however incorporated to create a more comfortable and evenly distributed solution.

![Figure 10: Sketch of the final design we will pursue, showing a hybrid of the backpack and sleeve designs.](image)

**Testing**

The team has already completed the first type of testing, which was force-gauge testing to find the load distribution of Eric’s arm. This was done by taking measurements at three points along Eric’s lower arm including at the wrist with the elbow supported, at the balance point 9 inches from the elbow, and at the elbow itself. The results we found were that the sling will need to support 2.93 lbs at the wrist, 5.29 lbs at the balance point, and 10 lbs at the elbow. These measurements will be used to calculate the required force distribution in our sling design. Once the design fabrication is almost complete, the prototype will be tested in two different ways. The first test performed will be the subluxation test to measure the distance between the humeral head and acromion. Ideally this would be done using an x-ray, but since there are budgetary constraints and to avoid putting the patient through unnecessary procedures, a more primitive method will be used. Subluxation distance will be measured externally using finger widths. Eric currently has a subluxation distance of two finger widths—the goal is for him to be at zero finger widths while wearing the device. The second test will be a basic test in which Eric wears the sling for a day and gives feedback so adjustments can be made as necessary.
Future Work

In the future, contact will be continued with a UW Health Orthotics supervisor. In consultation with this supervisor, the team will receive feedback on material selection for this type of project and hopefully assist with fabrication. Based on the recommendations, materials will be purchased and the final design will be fabricated. When the design is close to completion, the aforementioned tests will be run and adjustments made as necessary. The semester will then culminate with final design presentations.
References


Appendix

Product Design Specifications – February 26, 2010

Project #2: Shoulder and Arm Support/Sling

Team Members

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Nathan Retzlaff – Communicator
Kayla Stankevitz – BWIG

Problem Statement

A sling or other method of support is needed for patients with injuries to the brachial plexus nerves that control the shoulder and arm muscles. Damage to these nerves can result in partial or total loss of motor function of the arm and subluxation of the humeral head from the shoulder socket. Current slings are non-ideal because they hinder patient movement, are uncomfortable to wear, and do not hold the arm in its natural position. The goal of this project is to design an effective and patient-friendly support mechanism that corrects the aforementioned faults of current slings.

Client Requirements

- **Shoulder Placement:** The support device must lift and hold shoulder in a comfortable and natural position. It would be ideal for device to lock shoulder in a position.

- **Useful Forearm/Hand Position:** The forearm should be straight out rather than across the body to enable better use of the hand. No constraints or straps should interfere with hand or wrist movement.

- **Comfort:** Material needs to be breathable to avoid overheating. Also, the device should be easy to put on with only one arm.
• **Aesthetics:** The support should be able to be worn primarily beneath clothes. Any visible components should be black. Also, final design should make the right arm appear larger and more cosmetically pleasing.

**Design Requirements**

1. **Physical and Operational Characteristics**
   a. **Performance Requirements:** The sling must reduce shoulder subluxation to a zero finger width gap between the humeral head and the acromion. Also, the device should provide shoulder protection against any incidental contact. As a necessity of the user, the sling should be easy to put on and remove with one hand. If time permits, a hinge mechanism at the elbow should be implemented to allow variation in forearm position.

   b. **Safety:** Any part of the design, especially straps, in contact with skin should not cause severe irritation or cut off circulation. All materials used must be non-allergenic.

   c. **Accuracy and Reliability:** The device should support the arm in the same position and at the same angles every use. The position of the upper arm should be at 20 degree angle away from the body, the elbow should be at a 90 degree angle, and the forearm should have 0 degree external rotation and elevation.

   d. **Life in Service:** The device needs to withstand approximately 8 hours of use per day. The user will wear the support when at work or while going out in public, but usually not at home. Also, materials of the sling should be able to withstand multiple washes.

   e. **Operating Environment:** All components of the design should be able to withstand normal body and air temperatures, as well as moisture from perspiration. Accidental bumping of the sling should not cause damage.

   f. **Ergonomics:** The supporting device should evenly distribute the weight of the flaccid arm so user’s posture and gait are minimally affected.

   g. **Size:** The user should be able to wear the sling under clothing comfortably. The smaller the sling is more cosmetically pleasing it will appear.

   h. **Weight:** The sling should be as light as possible for the comfort of the user.

   i. **Materials:** All materials should be non-allergenic and non-flammable. Also, material covering the skin should be breathable. Materials that can become easily soiled should be washable.
j. **Aesthetics:** The majority of the device should be able to be worn beneath the users’ clothing. Any visible components should be black.

2. **Production Characteristics**
   a. **Quantity:** One functional prototype of the sling is needed and should be tailored to fit Eric, our target patient. If time permits a second prototype should be completed to give Eric a sling to wear while the other is being washed.

   b. **Product Cost:** Total cost of materials and fabrication should not exceed $200.

3. **Miscellaneous**
   a. **Standards and Specifications:** Our support devices will adhere to the specifications laid out by the primary user.

   b. **Customer:** The primary user of sling will be Eric, one of our client’s patients. In the future, the design may be adapted to other brachial plexus or stroke patients.

   c. **Competition:** There are many slings on the market. Two slings designed specifically for brachial plexus injuries are the Giv-Mohr sling and WILMER sling.